Assessment of Echocardiography and Biomarkers for the Extended Prediction of Cardiotoxicity in Patients treated with Anthracyclines, Taxanes and Trastuzumab

Sawaya et al: Strain, Biomarkers and Chemotherapy Cardiotoxicity

Heloisa Sawaya, MD, PhD; Igal A. Sebag MD; Juan Carlos Plana, MD; James L. Januzzi, MD; Bonnie Ky, MD, MSCE; Timothy C. Tan, MBBS, PhD; Victor Cohen, MD; Jose Banchs, MD; Joseph R. Carver, MD; Susan E. Wiegers, MD; Randolph P. Martin, MD; Michael H. Picard, MD; Robert E. Gerszten, MD; Elkan F. Halpern, PhD; Jonathan Passeri, MD; Irene Kuter, MD; Marielle Scherrer-Crosbie, MD, PhD

From the Cardiac Ultrasound Laboratory and Division of Cardiology (H.S., J.L.J., T.C.T., M.H.P., R.E.G., J.P., M.S.C.), Gillette Center for Breast Cancer (I.K.), Institute for Technology Assessment (E.F.H.), Massachusetts General Hospital and Harvard Medical School, Boston, Massachusetts; Department of Cardiovascular Medicine, The University of Texas M.D. Anderson Cancer Center, Houston, Texas (J.C.P., J.B.); the Echocardiography Laboratory and Cardiology Division (I.A.S.), Department of Medicine, Segal Cancer Center (V.C.), Department of Oncology, Sir Mortimer B. Davis-Jewish General Hospital and McGill University, Montreal, Quebec, Canada; the Division of Cardiology, (B.K., S.E.W., J.R.C.) and the Abramson Cancer Center (J.R.C.), University of Pennsylvania, Philadelphia, Pennsylvania, Piedmont Heart Institute, Atlanta, Georgia (R.P.M.).

Correspondence to
Marielle Scherrer-Crosbie, MD, PhD
Cardiac Ultrasound Laboratory
Massachusetts General Hospital
55 Fruit Street, Boston, MA 02114
Phone: (617) 726-7686/Fax: (617) 726-8383
E-mail: marielle@crosbie.com

DOI: 10.1161/CIRCIMAGING.112.973321

Journal Subject Codes: 11, 31, 33
Abstract

Background—As cancer patients survive longer, the impact of cardiotoxicity associated with the use of cancer treatments escalates. The present study investigates whether early alterations of myocardial strain and blood biomarkers predict incident cardiotoxicity in patients with breast cancer during treatment with anthracyclines, taxanes, and trastuzumab.

Methods and Results—Eighty one women with newly diagnosed HER2 positive breast cancer treated with anthracyclines followed by taxanes and trastuzumab were enrolled to be evaluated every 3 months during their cancer therapy (total of 15 months) using echocardiograms and blood samples. Left ventricular ejection fraction (LVEF), peak systolic longitudinal, radial and circumferential myocardial strain were calculated. Ultrasensitive troponin I (usTnI), N-terminal pro-B-type natriuretic peptide (NTproBNP) and the interleukin family member (ST2) were also measured. Left ventricular ejection fraction decreased (64 ± 5% to 59 ± 6%, P<0.0001) over 15 months. Twenty-six patients (32%, [22-43%]) developed cardiotoxicity as defined by the Cardiac Review and Evaluation Committee Reviewing Trastuzumab; of these patients, 5 (6%, [2-14%]) had symptoms of heart failure. Peak systolic longitudinal myocardial strain and usTnI measured at the completion of anthracyclines treatment predicted the subsequent development of cardiotoxicity; no significant associations were observed for LVEF, NT-proBNP and ST2. Longitudinal strain was <19% in all patients who later developed heart failure.

Conclusions—In patients with breast cancer treated with anthracyclines, taxanes and trastuzumab, systolic longitudinal myocardial strain and usTnI measured at the completion of anthracyclines therapy are useful in the prediction of subsequent cardiotoxicity and may help guide treatment to avoid cardiac side effects.

Key Words: chemotherapy; echocardiography; biomarkers; left ventricular function; heart failure; trastuzumab
As early diagnosis and therapies of breast cancer have improved, more than 2.2 million women are now breast cancer survivors in the United States. This increase in survival, however, raises the likelihood that patients will experience side-effects of anti-cancer therapies, notably cardiotoxicity.

The cardiotoxicity of anthracyclines is well recognized. Trastuzumab increases the cardiotoxicity of anthracyclines treatment, with left ventricular (LV) dysfunction noted in as many as a third of the patients and an incidence of congestive heart failure of 2-5% in patients treated with both therapies\(^1\). Although the decrease in LV ejection fraction (LVEF) appears to be responding to treatment in a majority of patients\(^2\), its long-term prognosis is unknown.

Left ventricular ejection fraction, which is widely used to monitor cardiac systolic function after chemotherapy fails to detect subtle alterations in LV function. Once the LVEF has decreased in patients treated with anthracyclines, it may be too late to reverse the course of the cardiomyopathy\(^3\). More sensitive and specific markers of chemotherapy-induced cardiac dysfunction or myocardial injury may allow for earlier and better adaptations of oncologic and cardiac treatments.

Evidence is accumulating that recently developed echocardiographic indices and biomarkers may be useful in the detection of early cardiac injury. Decreases in myocardial strain and strain rate have been described after anthracyclines-based chemotherapies\(^4-8\). In a preliminary study, we reported that in a small cohort of patients treated with anthracyclines, taxanes and trastuzumab, measurements of peak longitudinal systolic strain at 3 months of follow-up predicted a decrease in LVEF 3 months later\(^8\). The longer term predictive value of these measures in a larger cohort, and the optimum measurement time point remain to be determined.
Troponin measurements have been used to measure myocardial injury and predict incident LV dysfunction in patients receiving high doses of anthracyclines, but their role in patients receiving low to moderate doses of anthracyclines is not established. Importantly, the studies reporting predictive values of noninvasive measurements included patients with multiple treatments and at different stages during their therapies. This heterogeneity has not allowed for the differentiation of the role of the various therapies on myocardial function, and has limited the clinical use of the measurements.

The primary objective of this prospective study was to assess whether LVEF, myocardial strain, and biomarkers of cardiac injury (troponin), wall stress (NT-proBNP) and remodeling (NT-proBNP, ST2) obtained early in the course of the treatment in a homogeneous cohort of women with newly diagnosed HER2 positive breast cancer treated with anthracyclines followed by taxanes and trastuzumab could predict subsequent cardiotoxicity occurring throughout the full course of the treatment. Another objective of the study was to investigate the time course of these imaging and blood markers throughout the treatment. For the models predicting cardiotoxicity, we a priori selected to study the value of the parameters obtained at the completion of the anthracyclines treatment, before taxanes and trastuzumab were introduced, as anthracyclines have been shown to induce more severe cardiotoxicity than either taxanes or trastuzumab.

Methods

Patients of at least 18 years of age newly diagnosed with HER-2 over-expressing breast cancer and scheduled to receive adjuvant therapy including anthracyclines, taxanes, and trastuzumab were eligible. Patients who had a baseline LVEF<50% were excluded.
The study was approved by the Internal Review Board of the 4 participating institutions. After a signed informed consent, patients were studied before chemotherapy, at the completion of anthracyclines therapy (19±9 days after the last anthracyclines cycle) and every 3 months subsequently until the end of the trastuzumab treatment (12 months in duration), for a total of 6 studies over 15 months (Figure 1). Each study visit included a detailed questionnaire including the presence of cardiac symptoms, an echocardiogram and blood sampling.

The primary endpoint was the occurrence of cardiotoxicity as defined by the Cardiac Review and Evaluation Committee of trastuzumab-associated cardiotoxicity (CREC) i.e. either a cardiomyopathy with decreased LVEF, a reduction of LVEF of ≥ 5% to less than 55% with symptoms of heart failure (diagnosed by a cardiologist at the site) or an asymptomatic reduction of LVEF of ≥ 10% to less than 55% 11.

Echocardiograms

Transthoracic echocardiograms were acquired using the Vivid 7 or E9 (GE Healthcare, Milwaukee, WI). The same ultrasound machine was used to acquire all echocardiograms in each patient. All echocardiograms were analyzed by 2 readers (MS-C for the LVEF, HS for all other measurements). The readers were blinded to each other’s measurements and to the patient visit number. LVEF was calculated from the apical 4- and 2-chamber views using a modified Simpson’s biplane method. The intraobserver variability of the LVEF reported as the mean error ± SD of 10 measurements was 1±5% in absolute values (1±8% in percentages) and the interobserver variability -2±5% in absolute values (-4±8% in percentages). Peak systolic strain was measured using speckle tracking (Echopac, GE Medical, Milwaukee, WI), at a frame rate of 80-100 fps. Peak systolic radial and circumferential strain was calculated by averaging the peak
systolic strain values in all 6 segments of the parasternal short-axis view at midpapillary level. Peak systolic longitudinal strain was calculated by averaging the values of peak systolic strain in the basal and mid-ventricular segments of the 4- and 2- chamber views. The intra- and interobserver variabilities of the strain in the laboratory were reported in a previous study.

**Biomarkers**

Troponin I was determined using a research-phase highly sensitive assay based on LOCI® technology and run on a Dimension Vista 1500® System (Siemens Healthcare Diagnostics). This ultrasensitive troponin I (usTnI) assay has a range of 0.5-20,000 pg/mL and a 10% coefficient of variation (CV) of 3 pg/mL. All values of troponin I of more than 30 pg/ml (95th percentile of values obtained before treatment) were considered elevated.

NT-proBNP was measured on the Dimension Vista® 500 Intelligent Laboratory System (Siemens Healthcare Diagnostics, Deerfield, IL). The limit of detection of NT-proBNP assay is 0.8 pg/mL. The highest value of NT-proBNP reported in healthy subjects of less than 75 years of age is 125 pg/mL thus all values of NT-proBNP of more than 125 pg/mL were considered elevated.

ST2 was measured using a research use only assay (Presage® ST2, Critical Diagnostics, San Diego, CA) on an automated enzyme linked-immunosorbent assay platform. This assay has been reported to have an inter-run CV of 2.0%, with values below 35 pg/mL considered normal.
Statistics

The study was designed as a prospective multicenter study. The primary aim was to test the association between echocardiographic markers of systolic function and biomarkers (predictors) and subsequent occurrence of cardiotoxicity (outcome). Based on our previous study in which the sensitivity of deformation measurements in the prediction of LV dysfunction in mice was 95%⁴, and assuming a rate of cardiotoxicity of 25% in patients¹³, 80 patients were needed for a confidence interval of the deformation indices sensitivity of [80%-100%].

To investigate the time course of echocardiographic parameters and biomarkers, LVEF, longitudinal strain and log-transformed biomarkers (see below) were compared at baseline, post-anthracyclines, 6, 9, 12 and 15 months by using a 1-way analysis of variance for repeated measures (ANOVA) for the patients who completed all follow-up visits. If the effect of time was significant, changes in the parameters compared to baseline were explored using contrast analysis. The normality of the distribution of the parameters studied was checked using the Shapiro-Wilk test. None of the blood biomarkers levels followed a normal distribution. The non normal data was log transformed.

Baseline characteristics of patients who developed or did not develop cardiotoxicity were compared using Chi Square tests. Possible predictors of cardiotoxicity were determined prior to data collection and were tested using univariate logistic regression as continuous variables for the echocardiographic parameters and as continuous variables and with the pre-determined elevation thresholds for the biomarkers. For the echocardiographic parameter that was predictive as a continuous variable (longitudinal strain), a Receiver Operating Curve was obtained and the optimal strain value with the greatest total of sensitivity and specificity in the prediction of cardiotoxicity was selected. The primary covariates that were considered as possible predictors
of cardiotoxicity were the absolute levels of LVEF, strain and biomarkers at the end of the anthracycline treatment. We also described an alternative way to analyze LVEF and strain (changes from baseline) as this approach has been reported in the literature. A multiple nominal logistic regression model was then applied to the univariable predictors (longitudinal myocardial strain and ultrasensitive troponin I). The effects of age and the presence of hypertension were controlled for in this model. P values of less than 0.05 were defined as significant.

Statistical analyses were performed using JMP statistical package (SAS Institute In. Cary, NC). Data are expressed as mean ± SD or median with interquartile range.

Results

Population

The enrollment and completion of the study are summarized on Figure 2. Eighty-one patients completed the study. Of these patients, 12 had partial follow-up (average of 4.9±0.3 visits) but did not differ from the rest of the cohort. Patients were treated with either doxorubicin (cumulative dose of 240 mg/m²) or epirubicin (cumulative dose of 300 mg/m²) for 3 months, followed by weekly paclitaxel (80 mg/m²) and trastuzumab (2mg/kg) for 3 months, and trastuzumab only (6mg/kg) every 3 weeks for 9 more months. Their baseline clinical characteristics are presented in Table 1.

Effect of chemotherapy and trastuzumab on LVEF

The mean LVEF decreased during treatment (from 64±5 to 59±6%, Table 2, Figure 3A, p < 0.0001 over the duration of the study). Twenty-six patients (32% of the entire cohort) developed CREC-defined cardiotoxicity. There was no difference in the baseline clinical characteristics of
the women who developed cardiotoxicity compared to the ones who did not (Table 1). In women developing cardiotoxicity, the decrease in LVEF was detected most frequently 3 months after the end of the anthracyclines therapy (11 patients), less commonly early (n=3), 6 months (n=5), 9 months (n=5), and 12 months (n=2) after the end of anthracyclines.

The mean decrease in LVEF in patients with CREC-defined cardiotoxicity was partially reversible (49 ± 4% at the nadir to 56 ± 7% at the end of the follow-up, P<0.0001). The LVEF of 9 patients (11% of the entire cohort) remained below 55% until the end of the follow-up. Twelve patients (15% of the entire cohort) decreased their LVEF to <50%, 5 of whom remained <50% at the end of the follow-up. The individual time course of the LVEF in patients with cardiotoxicity is shown in Figure 4. Following the diagnosis of cardiotoxicity, trastuzumab was discontinued in 3 patients and one of these patients was treated with angiotensin converting enzyme (ACE) inhibitors and beta blockers. No intervention was performed in the other patients.

Of note, six of 14 patients treated before cancer therapy with ACE inhibitors (43%) and 4 of 9 patients treated before cancer therapy with beta blockers (44%), all for a diagnosis of hypertension, developed cardiotoxicity (p=0.39 and p=0.43 vs. no ACE inhibitors or no beta-blockers).

**Effect of chemotherapy and trastuzumab on myocardial strain and biomarkers**

Peak systolic longitudinal myocardial strain decreased during the study period (from 21±2% to 19±2%, Table 2, Figure 3B, p < 0.0001 over the duration of the study). In women who developed cardiotoxicity, the mean longitudinal strain decreased to a nadir of 15 ± 3%. Both radial and circumferential components of the strain also decreased (p < 0.005 for radial and p < 0.02 for circumferential strain over the duration of the study).
Ultrasensitive TnI concentrations increased during the follow-up period (from a median of 1.3 pg/ml to 23 pg/ml, p<0.0001, Table 3, Figure 3C) and were highest at the completion of the anthracyclines treatment. In women who developed cardiotoxicity, the usTnI concentrations at completion of anthracyclines were 32 pg/ml [10-56 pg/ml] whereas they were 17 pg/ml [5-35 pg/ml] in women who did not (p=0.18). NT-proBNP levels and ST2 levels did not change significantly throughout follow-up (Table 3).

Predictive value of strain and biomarkers in the development of cardiotoxicity

The predictive value of parameters measured at the completion of anthracyclines therapy and of the changes of these parameters compared to baseline was studied. For these analysis, the 3 patients who had already developed cardiotoxicity at the completion of anthracyclines were excluded, thus the analysis was done on 23 cases of cardiotoxicity.

Predictive value of LVEF. Left ventricular ejection fraction measured at the completion of the anthracyclines treatment was not predictive of later cardiotoxicity (p=0.075). Similarly, the changes in LVEF between baseline and the completion of anthracyclines treatment was not predictive of later cardiotoxicity, whether they were analyzed as a continuous variable or as a discrete change of 8 or 10% (clinically used \(^{14}\)) (p=0.081, 0.23, 0.34 respectively). A decrease of LVEF >8% was detected in only 15% of the patients developing cardiotoxicity subsequently, a decrease of 10% was detected in only one of these patients.

Predictive value of myocardial strain. In contrast, peak systolic longitudinal myocardial strain measured at completion of the anthracyclines treatment was predictive of the later development of cardiotoxicity (p =0.0003). Based on the receiver operating curve (Figure 5), a value of less than 19% at the completion of the anthracyclines treatment was selected to detect patients at high
risk of developing cardiotoxicity. Longitudinal strain <19% was measured in 74% of the patients developing cardiotoxicity subsequently (sensitivity, Table 4). Fifty-three percent of patients with strain <19% developed cardiotoxicity during follow-up (positive predictive value, Table 4). In contrast, 13% of the patients with longitudinal strain >19% at completion of the anthracyclines treatment developed cardiotoxicity. Of note, longitudinal strain measured at the completion of the anthracyclines treatment predicted decreases of LVEF to less than 50% (p < 0.0001). Neither radial nor circumferential strain were predictive of subsequent cardiotoxicity (p = 0.25 and p = 0.67, respectively).

**Predictive value of biomarkers.** None of the biomarkers were predictive of cardiotoxicity when measured as continuous variables. However, elevated usTnI concentrations (>30 pg/ml) at the completion of the anthracyclines treatment were predictive of subsequent cardiotoxicity (p = 0.04, Table 4). Neither elevated NT-proBNP nor ST2 were predictive of later cardiotoxicity (p = 0.39 and p = 0.78, respectively).

**Multivariate analysis.** When longitudinal strain and usTnI were included in a multivariate analysis adjusted for age and the presence of hypertension, longitudinal strain <19% remained the only independent predictor of cardiotoxicity (p = 0.0003).

**Clinical symptoms.** Five patients developed symptoms of heart failure during the course of the study (6%). One patient presented at the completion of the anthracyclines treatment, one patient 3 months, two patients 6 months, and one patient 12 months after treatment by anthracyclines.

Cardiotoxicity was noted in all symptomatic patients. Peak longitudinal strain <19% soon after anthracyclines therapy was present in all symptomatic patients. Ultrasensitive troponin I was ≥ 30 pg/ml soon after anthracyclines treatment in 2 patients who later developed symptoms
of heart failure (both 6 months later). UsTnI measured 3, 6, 9 months after anthracyclines did not
detect any symptomatic patients.

**Discussion**

In this prospective study of women with breast cancer treated with anthracyclines followed by
taxanes and trastuzumab, peak systolic longitudinal myocardial strain and usTnI measured at the
completion of anthracyclines therapy were predictive of the development of cardiotoxicity as
defined by the CREC during the subsequent treatment course (a period of 12 months after the
completion of anthracyclines). A significant decrease of LVEF (8% or more) was detected at
the completion of anthracyclines treatment in only 15% of the patients developing cardiotoxicity
during follow-up. In contrast, changes in more sensitive markers of myocardial injury or
dysfunction such as troponin or strain were detected at completion of the anthracyclines in 78%
of patients developing subsequent cardiotoxicity. Furthermore, longitudinal strain <19% was
present in all the patients who later developed symptoms of heart failure.

The present study was designed to investigate a homogeneous population of
chemotherapy-treated patients. The women enrolled were representative of a population
diagnosed with first time breast cancer, in terms of their age, cardiovascular risk factors
cardiovascular and cancer treatments. The applicability of the results to patients treated with
anthracyclines, taxanes and trastuzumab was confirmed by the fact that the incidence of CREC-
defined cardiotoxicity and symptomatic heart failure were similar to those observed in larger
trials. It is noteworthy that the LVEF decreases during the treatment period but remains within
normal limits when averaged in the whole group, a finding that has also been reported in large
studies.
Anthracyclines-induced cardiotoxicity is mainly mediated through the generation of reactive oxygen species and is accompanied by increased cardiomyocyte calcium overload and apoptosis, an irreversible process. In contrast, HER2-inhibitors disrupt myofibrillar structure but do not appear to cause extensive cardiomyocyte death. In the present study, the changes of troponin and strain detected after the anthracyclines treatment and before any other treatment underline the crucial role of anthracyclines in the development of cardiotoxicity. Hare et al. did not observe a decrease in strain in patients who had already received anthracyclines and were treated with trastuzumab only, suggesting that the additional effect of trastuzumab on cardiac function may be limited.

The long-term natural history of cardiotoxicity in the association of anthracyclines and trastuzumab is not yet known. In an intermediate study following women treated with a year of trastuzumab for 3 additional years, the cardiac events were detected during the initial year underlining the importance of the follow-up period throughout the trastuzumab treatment chosen in the present study.

Several studies reporting decreases in myocardial deformation parameters in patients previously treated with anthracyclines did not, however, investigate the predictive value of these indices. The predictive value of a decreased global longitudinal and radial strain was reported recently in 43 highly symptomatic patients (24% of symptomatic heart failure) however the precise timing of the measurement and of the follow-up were not clarified. The present study demonstrates the value of a measurement of longitudinal strain obtained at the completion of the anthracyclines treatment in the prediction of cardiotoxicity during the subsequent taxanes and trastuzumab treatment. No predictive value of radial strain was found, possibly due to the variability of the measurement.
In the present study, NT-proBNP concentrations did not change and did not predict cardiotoxicity. Similarly, ST2, a novel marker that is associated with the occurrence of remodeling and heart failure, was unchanged. Of note, the baseline level of ST2 observed in the patients before any cardiotoxic treatment was high compared to the reported median in a healthy population, suggesting that levels may be altered in these patients. Thus, the negative results of NT-proBNP and ST2 may possibly be attributed to the multiple comorbidities found in cancer patients capable of modifying these biomarkers levels.

Cardinale et al. have reported that the measurement of troponin I predicted the development of later cardiac events in patients treated by high doses of anthracyclines or chemotherapies and trastuzumab. The present study confirms the value of measuring troponin in patients with breast cancer treated with anthracyclines, taxanes and trastuzumab and clarifies its optimal timing. Although ultrasensitive troponin was not an independent predictor of later cardiotoxicity, its measurement combined with the measurement of longitudinal strain increased the sensitivity of the biomarkers from 74% to 87%, allowing a negative predictive value of 91%. Thus, measuring both strain and troponin may be of value in predicting the absence of toxicity of the cancer treatment.

In the present study, few patients had symptoms of heart failure, precluding any analysis of the value of echocardiography and biomarkers in the prediction of symptomatic heart failure. Peak longitudinal myocardial strain, however, was not only predictive of CREC-defined cardiotoxicity but also of decreases of LVEF to less than 50%. The clinical relevance of such a decrease in LVEF is high; in a study of 4257 participants from the Framingham study, the presence of an asymptomatic LVEF between 40 and 50% was accompanied with a 3.9 risk in heart failure and 1.9 risk in mortality compared to participants with LVEF >50%.
the AHA/ACC guidelines recommend the use of cardioprotective drugs in asymptomatic patients with abnormal LVEF.

There are limitations to the present study. The apical strain values were not analyzed as in a majority of patients as the apex was not well visualized at all time points, mainly due to breast surgery, post-surgical changes, and the presence of expanders or breast implants. The 3 chamber apical view was not acquired in the protocol design. Both the absence of the apex and of the 3 chamber view limits our ability to analyze every segment of the myocardium. Another limitation of the study is that 12 of the patients did not complete all the follow-up studies (average of 4.9±0.3 studies in these patients), decreasing our ability to define transient episodes of cardiotoxicity. Other limitations include the relatively small sample size (23–26 cases of cardiotoxicity) and the fact that sensitivity and specificity are likely over-estimated since they were estimated from the same data used to define optimal cut-points. Finally, the study is testing whether assessment of LVEF at one time could be predictive of a change of LVEF at a later time. Investigating the value of early LVEF in the prediction of stronger end-points, such as symptomatic heart failure or cardiac mortality would be of great interest. As the incidence of these events is low, however, such a study would require a much greater number of patients and a longer follow-up. Other sensitive indices of LV function, such as strain rate or mitral annular systolic displacement may also be tested in further studies.

In conclusion, the peak systolic longitudinal myocardial strain and ultrasensitive troponin measured at the completion of treatment with anthracyclines in women with breast cancer treated by anthracyclines, taxanes, and trastuzumab predict the occurrence of subsequent cardiotoxicity.
and may help to guide the clinician on the subsequent treatment plan in terms of therapy adjustment, closer follow-up of cardiac function and appropriateness of cardiovascular therapy.

Acknowledgments

We would like to thank Laurie Farrell, RN from the Cardiology Division for her help in the blood sampling and processing, Steven Isakoff, MD, Alisha Polewarczyk, BA, Jennifer Acuna, IHPM, BA, from the Gillette Center for Breast Cancer of Massachusetts General Hospital for their contribution in recruiting patients, Stephanie Fuoco, RN from the Sir Mortimer B. Davis-Jewish General Hospital/McGill University for coordinating patient enrollment, Mary Lou Gantzer, PhD from Siemens HealthCare Diagnostics for measurement of usTnI and NT-proBNP, James Snider, PhD from Critical Diagnostics for measurement of ST2 and Arthur E Weyman, MD from the Cardiac Ultrasound Laboratory at the Massachusetts General Hospital for his careful review of the paper.

Sources of Funding

This work was supported by an investigator-initiated grant from the Susan G. Komen for the Cure Foundation (KG 080818), a Claflin Distinguished Scholar Award and a Clinical Innovation Award, Boston, MA, USA (all to MSC) and by the Kynett Focus Junior Faculty Investigator Award, Philadelphia, PA, USA (to BK).

Disclosures

Dr Januzzi receives consultancy fees and research funding from Critical Diagnostics and Roche. Dr Plana receives honoraria and research funding from General Electrics.


Table 1. Baseline clinical characteristics of patients treated with anthracyclines, taxanes and trastuzumab who developed or did not develop cardiotoxicity.

<table>
<thead>
<tr>
<th>Variable</th>
<th>Entire cohort (n=81)</th>
<th>Cardiotoxicity (n=26)</th>
<th>No cardiotoxicity (n=55)</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td>50±10</td>
<td>49 ± 10</td>
<td>50 ± 10</td>
<td>0.78</td>
</tr>
<tr>
<td>Dose of anthracyclines</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Doxorubicin 240 mg/m²</td>
<td>71 (88%)</td>
<td>22 (85%)</td>
<td>49 (89%)</td>
<td>0.57</td>
</tr>
<tr>
<td>Epirubicin 300 mg/m²</td>
<td>10 (12%)</td>
<td>4 (15%)</td>
<td>6 (11%)</td>
<td></td>
</tr>
<tr>
<td>Radiotherapy</td>
<td>49 (60%)</td>
<td>13 (50%)</td>
<td>36 (65%)</td>
<td>0.22</td>
</tr>
<tr>
<td>Side of breast cancer</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Right</td>
<td>34 (42%)</td>
<td>11 (42%)</td>
<td>23 (42%)</td>
<td></td>
</tr>
<tr>
<td>Left</td>
<td>41 (51%)</td>
<td>12 (46%)</td>
<td>29 (53%)</td>
<td>0.61</td>
</tr>
<tr>
<td>Both</td>
<td>6 (7%)</td>
<td>3 (11%)</td>
<td>3 (5%)</td>
<td></td>
</tr>
<tr>
<td>CV risk factors</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HTN</td>
<td>26 (32%)</td>
<td>8 (31%)</td>
<td>18 (33%)</td>
<td>0.75</td>
</tr>
<tr>
<td>DM</td>
<td>1 (1%)</td>
<td>1 (4%)</td>
<td>0 (0%)</td>
<td>0.32</td>
</tr>
<tr>
<td>Hyperlipidemia</td>
<td>18 (22%)</td>
<td>6 (23%)</td>
<td>12 (22%)</td>
<td>0.90</td>
</tr>
<tr>
<td>Smoking</td>
<td>6 (7%)</td>
<td>2 (8%)</td>
<td>4 (7%)</td>
<td>0.95</td>
</tr>
<tr>
<td>CV treatment</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ACE inhibitor</td>
<td>14 (17%)</td>
<td>6 (23%)</td>
<td>8 (14%)</td>
<td>0.36</td>
</tr>
<tr>
<td>Beta blockers</td>
<td>9 (11%)</td>
<td>4 (15%)</td>
<td>5 (9%)</td>
<td>0.45</td>
</tr>
<tr>
<td>BMI (kg/m²)</td>
<td>26±5</td>
<td>26 ± 9</td>
<td>25 ± 6</td>
<td>0.77</td>
</tr>
<tr>
<td>SBP (mmHg)</td>
<td>124±19</td>
<td>123 ± 17</td>
<td>124 ± 20</td>
<td>0.77</td>
</tr>
<tr>
<td>DBP (mmHg)</td>
<td>73±10</td>
<td>74 ± 11</td>
<td>73 ± 10</td>
<td>0.93</td>
</tr>
<tr>
<td>Heart rate (beats/min)</td>
<td>71±11</td>
<td>71 ± 10</td>
<td>70 ± 11</td>
<td>0.75</td>
</tr>
<tr>
<td>LVEF</td>
<td>64±5</td>
<td>64 ± 4</td>
<td>64 ± 6</td>
<td>0.82</td>
</tr>
</tbody>
</table>
CV: cardiovascular; HTN – hypertension; DM – diabetes mellitus; ACE – angiotensin converting enzyme; BMI – body mass index; SBP – systolic blood pressure; DBP – diastolic blood pressure; LVEF – left ventricular ejection fraction
Table 2. Temporal changes in echocardiographic parameters during treatment by anthracyclines, taxanes and trastuzumab

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Before treatment</th>
<th>Post-anthracyclines (3 months)</th>
<th>6 months</th>
<th>9 months</th>
<th>12 months</th>
<th>End of Treatment</th>
</tr>
</thead>
<tbody>
<tr>
<td>LVEF (%)</td>
<td>64±5</td>
<td>62±5*</td>
<td>59±5†</td>
<td>58±5†</td>
<td>58±6†</td>
<td>59±6†</td>
</tr>
<tr>
<td>Longitudinal strain (%)</td>
<td>21±2</td>
<td>19±2†</td>
<td>18±3†</td>
<td>18±3†</td>
<td>19±2†</td>
<td>19±2†</td>
</tr>
<tr>
<td>Radial strain (%)</td>
<td>53±15</td>
<td>50±17*</td>
<td>43±16**</td>
<td>37±16**</td>
<td>34±16†</td>
<td>41±17**</td>
</tr>
<tr>
<td>Circumferential strain (%)</td>
<td>18±4</td>
<td>16±4**</td>
<td>15±3**</td>
<td>15±3**</td>
<td>15±3**</td>
<td>16±3***</td>
</tr>
</tbody>
</table>

*: P<0.03, **: P<0.005, ***: P<0.001, †: P<0.0001 vs. before treatment. The analysis was performed using an ANOVA for repeated measurements on 69 patients with complete follow-up.
Table 3. Temporal changes in biomarkers during treatment by anthracyclines, taxanes and trastuzumab

<table>
<thead>
<tr>
<th></th>
<th>Before treatment</th>
<th>Post-anthracyclines (3 months)</th>
<th>6 months</th>
<th>9 months</th>
<th>12 months</th>
<th>End of Treatment</th>
</tr>
</thead>
</table>

UsTnI: ultrasensitive troponin I, NTproBNP: NTpro brain natriuretic peptide, ST2: ST2 protein *: P<0.0001 vs. before treatment. The parameters were log-transformed and the analysis was performed using an ANOVA for repeated measurements on 69 patients with complete follow-up.
Table 4. Sensitivity, specificity, positive and negative predictive value of the predictors of cardiotoxicity

<table>
<thead>
<tr>
<th>Predictors (measured early after anthracyclines)</th>
<th>Sensitivity</th>
<th>Specificity</th>
<th>PPV</th>
<th>NPV</th>
</tr>
</thead>
<tbody>
<tr>
<td>Long strain &lt;19%</td>
<td>17/23 (74%)</td>
<td>40/55 (73%)</td>
<td>17/32 (53%)</td>
<td>40/46 (87%)</td>
</tr>
<tr>
<td></td>
<td>[0.51-0.90]</td>
<td>[0.59-0.84]</td>
<td></td>
<td></td>
</tr>
<tr>
<td>usTnI &gt;30 pg/ml</td>
<td>11/23 (48%)</td>
<td>40/55 (73%)</td>
<td>11/26 (44%)</td>
<td>40/52 (77%)</td>
</tr>
<tr>
<td></td>
<td>[0.27-0.69]</td>
<td>[0.59-0.84]</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Long strain &lt;19% and usTnI&gt;30pg/ml</td>
<td>8/23 (35%)</td>
<td>51/55 (93%)</td>
<td>8/12 (67%)</td>
<td>51/66 (77%)</td>
</tr>
<tr>
<td></td>
<td>[0.16-0.57]</td>
<td>[0.82-0.98]</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Long strain &lt;19% or usTnI&gt;30 pg/ml</td>
<td>20/23 (87%)</td>
<td>29/55 (53%)</td>
<td>20/46 (43%)</td>
<td>29/32 (91%)</td>
</tr>
<tr>
<td></td>
<td>[0.66-0.97]</td>
<td>[0.39-0.66]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Long strain – peak systolic longitudinal myocardial strain; usTnI – ultrasensitive troponin I; PPV – positive predictive value; NPV – negative predictive value. The 95% exact confidence intervals are provided in brackets.
Figure Legends

Figure 1. Time course of the study protocol. Mo: months.

Figure 2. Consort diagram of the study protocol.

Figure 3. Time course of the LVEF (panel A), the longitudinal strain (panel B) and the ultrasensitive troponin (panel C) in 69 patients with breast cancer treated by anthracyclines followed by taxanes and trastuzumab. *: P<0.0001 vs. before treatment.

Figure 4. Individual time course of LVEF in 26 patients diagnosed with cardiotoxicity.

Figure 5. Receiver Operating Curve for peak systolic longitudinal myocardial strain measured at the completion of the anthracyclines treatment in the prediction of cardiotoxicity.
Figure 1

Baseline 3 mo (post Anthracyclines) 6 mo 9 mo 12 mo 15 mo

Anthracyclines Trastuzumab Paclitaxel Trastuzumab

Questionnaire/ Echocardiogram / Biomarkers
Figure 2

Assessed for eligibility: 99 patients

- Excluded (n=3)
  - refused enrollment (n=2)
  - baseline LVEF <50% (n=1)

96 patients

- Baseline only (n=15)
  - HER2 – on further test (n=2)
  - Change in scheduled treatment (n=2)
  - Followed-up in other institutions (n=11)

Completed protocol (n=81)

- All follow-up visits (n=69)

- Partial follow-up visits (n=12)
  (number of visits=4.9±0.3)
Figure 3
Figure 5

Sensitivity vs. 1 - Specificity